

DEOD SUMMARY

WEST SANTA ANA BRANCH TRANSIT CORRIDOR/AE5999300

A. Small Business Participation

WSP USA Inc. (WSP) made a 25.03% Disadvantaged Business Enterprise (DBE) commitment. The contract is 86% complete and the current DBE participation is 22.90%, representing a shortfall of 2.13%. A shortfall mitigation plan was requested and received. WSP explained that their 25.03% DBE commitment assumed the authorization of Scenario 1 – Option 2 of the contract, which was executed by Metro in December 2021 but has not been invoiced. The work under this modification was completed by the DBE team members and will be reported in March 2022, which will significantly increase the DBE utilization. Further, the current modification extends the contract period of performance through the end of 2023 and includes key roles for the DBE team members at approximately 26.58% of the modification value to ensure the DBE utilization is met.

Notwithstanding, Metro Project Managers and Contract Administrators will work in conjunction with DEOD to ensure that WSP is on schedule to meet or exceed its DBE commitment. Additionally, key stakeholders associated with the contract have been provided access to Metro's web-based monitoring system to ensure that all parties are actively tracking Small Business progress.

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|----------------------------------|-------------------|-------------------------------------|-------------------|
| Small Business Commitment | DBE 25.03% | Small Business Participation | DBE 22.90% |
|----------------------------------|-------------------|-------------------------------------|-------------------|

| | DBE/SBE Subcontractors | Ethnicity | % Committed | Current Participation¹ |
|----|----------------------------------|------------------------|--------------------|--|
| 1. | BA, Inc. | Black American | 1.66% | 2.09% |
| 2. | Cityworks Design | Hispanic American | 3.68% | 3.85% |
| 3. | Connetics Transportation Group | Asian-Pacific American | 0.79% | 0.52% |
| 4. | Epic Land Solutions | Caucasian Female | 1.18% | 0.76% |
| 5. | Geospatial Professional Services | Asian-Pacific American | 0.25% | 0.82% |
| 6. | Lenax Construction | Caucasian Female | 2.31% | 2.17% |
| 7. | Terry A. Hayes Associates | Black American | 11.40% | 5.83% |

| | | | | |
|-----|--|------------------------|---------------|---------------|
| 8. | Translinks Consulting | Asian-Pacific American | 3.76% | 2.49% |
| 9. | Bette Spaghetti Productions (Media Arts, LLC) | Caucasian Female | Added | 0.06% |
| 10. | Del Richardson & Associates | Black American | Added | 1.35% |
| 11. | Dunbar Transportation Consulting LLC | Caucasian Female | Added | 0.21% |
| 12. | Wiltec | Black American | Added | 0.47% |
| 13. | RSE Corporation (formerly Rail Surveyors and Engineers Inc.) | Asian-Pacific American | Added | 1.77% |
| 14. | Yunsoo Kim Design, Inc. | Asian-Pacific American | Added | 0.51% |
| | Total | | 25.03% | 22.90% |

¹Current Participation = Total Actual amount Paid-to-Date to DBE firms ÷ Total Actual Amount Paid-to-date to Prime.

B. Living Wage and Service Contract Worker Retention Policy Applicability

A review of the current service contract indicates that the Living Wage and Service Contract Worker Retention Policy (LW/SCWRP) was not applicable at the time of award. Therefore, the LW/SCWRP is not applicable to this modification.

C. Prevailing Wage Applicability

Prevailing Wage requirements are applicable to this project. DEOD will continue to monitor contractors' compliance with the State of California Department of Industrial Relations (DIR), California Labor Code, and, if federally funded, the U S Department of Labor (DOL) Davis Bacon and Related Acts (DBRA).

D. Project Labor Agreement/Construction Careers Policy

Project Labor Agreement/Construction Careers Policy is not applicable to this Contract. PLA/CCP is applicable only to construction contracts that have a construction related value in excess of \$2.5 million.