

DEOD SUMMARY

Financial Advisory Services: Business Case Development and Pre-Procurement Support for the West Santa Ana Light Rail Transit Project/PS50315-3049000

A. Small Business Participation

Sperry Capital Inc. (Sperry), an SBE Prime, made a 34.63% Small Business Enterprise (SBE) commitment. The project is 93% complete and Sperry is exceeding its SBE commitment with a current SBE participation of 36.31%. DEOD reached out to Sperry about the under-utilization of their SBE subcontractor, NWC Partners, Inc. (NWC). Sperry indicated that NWC is a specialty consulting firm and the expected tasks that were envisioned for NWC have not materialized to the degree anticipated in the initial scope. Sperry also indicated they expect increased utilization of NWC on Contract Modification No. 3 with the additional task of Federal Financial Instrument Support.

Small Business Commitment	34.63% SBE	Small Business Participation	36.31% SBE
----------------------------------	-------------------	-------------------------------------	-------------------

	SBE Subcontractors	% Committed	Current Participation¹
1.	Sperry Capital Inc. (SBE Prime)	31.26%	35.96%
2.	NWC Partners, Inc.	3.37%	0.35%
	Total	34.63%	36.31%

¹Current Participation = Total Actual amount Paid-to-Date to DBE firms ÷ Total Actual Amount Paid-to-date to Prime.

B. Living Wage and Service Contract Worker Retention Policy Applicability

The Living Wage and Service Contract Worker Retention Policy (LW/SCWRP) is not applicable to this modification.

C. Prevailing Wage Applicability

Prevailing wage is no applicable to this modification

D. Project Labor Agreement/Construction Careers Policy

Project Labor Agreement/Construction Careers Policy is not applicable to this Contract. Project Labor Agreement/Construction Careers Policy is applicable only to construction contracts that have a construction contract value in excess of \$2.5 million.