

PROCUREMENT SUMMARY

AUDIT SERVICES BENCH FY2018 TO FY2022 / PS36627000 – PS36627018

1.	Contract Number: PS36627000 through PS36627018	
2.	Recommended Vendor: (See Below)	
3.	Type of Procurement (check one): <input type="checkbox"/> IFB <input checked="" type="checkbox"/> RFP <input type="checkbox"/> RFP–A&E <input type="checkbox"/> Non-Competitive <input type="checkbox"/> Modification <input type="checkbox"/> Task Order	
4.	Procurement Dates:	
	A. Issued: January 17, 2017	
	B. Advertised/Publicized: January 17, 2017	
	C. Pre-Proposal Conference: February 13, 2017	
	D. Proposals Due: March 2, 2017	
	E. Pre-Qualification Completed: April 19, 2017	
	F. Conflict of Interest Form Submitted to Ethics: April 19, 2017	
	G. Protest Period End Date: May 22, 2017	
5.	Solicitations Picked up/Downloaded: 50	Bids/Proposals Received: 20
6.	Contract Administrator: Barbara A. Gatewood	Telephone Number: (213) 922-7317
7.	Project Manager: Diana Estrada, Chief Auditor	Telephone Number: (213) 922-2161

A. Procurement Background

This Board Action is to establish multiple award audit service Contracts for a five-year term, inclusive two one-year options. The Contracts will be effective July 1, 2017 with a cumulative total amount not to exceed \$11,440,000. The purpose of these Contracts is to provide audit support to Management Audit Services for large and/or technically complex audits, for assistance in the development of the annual audit work plans, and to assist with CEO/Board request assignments. These services will be performed on an “as-needed” basis for which task orders will be issued. Board approval of contract awards are subject to resolution of any properly submitted protest.

Request for Proposal (RFP) No. PS36627 was issued in accordance with Metro’s Acquisition Policy and the contract type is task order based. A pre-proposal conference was held on February 13, 2017 with 15 firms in attendance. Questions were received and responded to by Metro staff during the pre-proposal meeting and as part of the meeting minutes and solicitation amendments.

Two amendments were issued during the solicitation phase of this RFP:

- Amendment No. 1, issued on February 6, 2017, changed the Pre-Proposal Conference date from February 9, 2017 to February 13, 2017;
- Amendment No. 2, issued on February 23, 2017, clarified and made revisions to the Evaluation Criteria in the RFP.

B. Evaluation of Proposals

A total of 20 proposals were received in response to this solicitation. The firms are listed below in alphabetical order.

BCA Watson Rice, LLP
Choi Hong Lee & Kang, LLP
Chung and Chung Accountancy
Conrad, LLP
CPC Financial, Inc.
Grant Thornton, Inc.
KNL Support Services
KPMG,LLP
Lopez and Company, LLP
MA and Associates, CPA
Macias Gini O'Connell, LLP
Moss Adams, LLP
Qiu Accountancy Corporation
RTJ, CPA, P.C.
Simpson and Simpson
Susan Hum, CPA
Talson Solutions, LLC
The David Lewis Company, LLP
TAP International, Inc.
Vasquez and Company, LLP

The Proposal Evaluation Team (PET) consisting of staff from Metro's Management Audit Services Department was convened and conducted a comprehensive technical evaluation of the proposals received.

The proposals were evaluated based on the pass/fail criteria listed below. For a firm to receive an award of a contract, the firm must "pass" each criterion as stated in the RFP.

- Degree of the Prime's Skills and Experience
- Experience and capabilities of Firm's On The Contractor's Team
- Effectiveness of Management Plan
- Understanding of Work and Appropriateness of Approach for Implementation

During the initial review of proposals, one of the 20 firms was eliminated from further evaluation. After evaluation of the proposals, including oral interviews of those firms that are new to Metro, it was determined that all 19 firms listed below were qualified to provide audit and/or specialty risk assessment services to Metro and were suitable to be selected as part of the audit bench. In addition, 11 of the 19 firms are Metro certified Small Business Enterprises (SBE).

These firms are listed below in alphabetical order:

No.	CONTRACT NO.	FIRM
1	PS36627000	BCA Watson Rice, LLP
2	PS36627001	Choi Hong Lee & Kang LLP
3	PS36627002	Chung and Chung Accountancy
4	PS36627003	Conrad, LLP
5	PS36627004	CPC Financial, Inc.
6	PS36627005	Grant Thornton, Inc.
7	PS36627006	KNL Support Services
8	PS36627007	KPMG, LLP
9	PS36627008	Lopez and Company, LLP
10	PS36627009	Macias Gini O'Connell, LLP
11	PS36627010	Moss Adams, LLP
12	PS36627011	Qiu Accountancy Corporation
13	PS36627012	RTJ, CPA, P.C.
14	PS36627013	Simpson and Simpson, LLP
15	PS36627014	Susan Hum, CPA
16	PS36627015	Talson Solutions, LLC
17	PS36627016	The David Lewis Company, LLP
18	PS36627017	TAP International
19	PS36627018	Vasquez and Company, LLP

BCA Watson Rice, LLP

BCA Watson Rice LLP is located in Torrance, CA. The firm has been an active vendor on Metro's Audit Bench since 2008, under the name of Thompson, Cobb, Bazillio, Inc. BCA specializes in providing professional auditing services for both private and government agencies, and has also performed work for multiple transportation agencies. BCA Watson Rice is a certified SBE firm, has performed satisfactorily as a firm on the current Metro Audit Bench, and has worked on other projects within Metro's various business units.

Choi Hong Lee & Kang LLP

Choi Hong Lee & Kang LLP, a non-SBE firm, was formed in 2007 and is located in Los Angeles, CA. This firm is new to Metro. Choi Hong Lee and & Kang, LLP specializes in performing audits for government and private firms. This firm specializes in performing financial accounting, accounts payable and receivable auditing services, taxation, and auditing of government grants.

Chung and Chung Accountancy Corp., CPA

Chung and Chung Accountancy Corporation, CPAs is located in Walnut, CA. Chung and Chung have prior experience in government auditing and have been in business for over 15 years. This firm is a certified SBE, and has been on Metro's Audit Bench since 2012. Chung and Chung have performed successfully on audits as a sub-consultant for both BCA Watson Rice and Vasquez and Company.

Conrad, LLP

Conrad, LLP, formed in 2011, is located in Lake Forest, CA and specializes in performing audits for government agencies. Conrad is a certified SBE firm and has performed work for Metro on its first audit bench contract awarded in 2008. Some staff members from Conrad, LLP came from a former bench contractor, Mayer Hoffman McCann. Staff that has performed on Metro's projects performed satisfactorily.

CPC Financial Services, Inc.

CPC Financial Services, Inc. is located in Los Angeles, CA. This company is new to Metro, and is a certified SBE firm. CPC offers accounting, treasury operations, contract compliance audits, indirect expense rate audits and incurred cost audits.

Grant Thornton, Inc.

Grant Thornton, Inc. is located in Los Angeles, CA and has been in business in California for nearly 40 years. This firm specializes in performing audits for government agencies and all types of specialized administrative and management consulting service. This firm has performed satisfactory work for Metro.

KNL Support Services

KNL Support Services, founded in 1995, is located in Los Angeles, CA. KNL specializes in performing audits for government agencies. This firm is a certified SBE, is currently on the Metro bench and has performed satisfactory work for Metro.

KPMG LLP

KPMG, LLP, has provided professional auditing services for more than 20 years. KPMG is located in Los Angeles, CA. KPMG, LLP is the independent U.S. member firm of KPMG International. This firm has provided professional audit and accounting services for Metro, and also has been a firm on Metro's Audit Services Bench since 2008. KPMG specializes in providing internal audits, grant audits, contract compliance and forensics, IT audits, and construction advisory services.

Lopez and Company, LLP

Lopez and Company, LLP has been a participant on Metro's bench formerly as Vargas, Lopez and Company LLP, and recently as Lopez and Company, LLP. This firm is located in Corona, CA. Lopez and Company has been in business for over 20 years and has performed numerous audit engagements for Metro. Lopez is a certified SBE and is currently on Metro's Audit bench. Lopez and Company specializes in pre-award, performance, grant

and claims audits, and has provided augmentation services for Management Audit Services Department for Metro.

Macias Gini O’Connell, LLP, (MGO).

Macias Gini O’Connell, LLP (MGO) is located in Los Angeles, CA. MGO has been a participant on the Metro Audit Bench since 2008. MGO is not a certified SBE company. MGO specializes in project management, IT, grant reviews, performance audits, project management, financial audits, and specialized projects for private and government agencies.

Moss Adams, LLP

Moss Adams is located in Los Angeles, CA. Moss Adams is not a certified SBE company. This firm specializes in project management, IT, construction audits, financial capability reviews, accounting system reviews, and specialized projects for government, private and transportation agencies. Moss Adams is new to Metro’s Audit Bench.

Qiu Accountancy Corporation

Qiu Accountancy Corporation is located in Los Angeles, CA. Qiu Accountancy Corporation is a certified SBE and has been a participant on Metro’s bench since 2008. Qiu specializes in providing performance, financial, grant management, pre-award, contract compliance audits and also provides augmentation services for Management Audit Services. Qiu Accountancy Corporation has performed numerous audit task orders satisfactorily.

RTJ, CPA, P.C.

RTJ, CPA, P.C. is located in Playa Vista, CA. RTJ, CPA, P.C. is a certified SBE and has been a participant on Metro’s bench since 2015. RTJ specializes in financial, performance, contract compliance and augmentation services.

Simpson and Simpson, LLP

Simpson and Simpson has been a participant on Metro’s bench since 2001. This firm is located in Los Angeles, CA. Simpson and Simpson has been in business for over 40 years and has performed numerous audit engagements for Metro. Simpson and Simpson is not a certified SBE firm, and is on Metro’s current bench. Simpson and Simpson have performed satisfactorily for Metro.

Susan Hum, CPA

Susan Hum, CPA is located in Los Angeles, CA. Susan Hum, CPA is new as a participant to Metro’s Audit Bench. However, she has previously provided audit services with another bench firm, Simpson and Simpson. Susan has branched out on her own as a certified SBE and has had experience in working on several Metro projects, contract audits and contract compliance for Management Audit Services since 2010.

Talson Solutions, LLC

Talson Solutions, LLC is located in Philadelphia, PA. The company has been in business over ten years. Talson Solutions is a new firm to Metro and specializes in design and construction audits, improvement of financial controls, program compliance and management, and risk assessments. Talson is a certified SBE firm.

The David Lewis Company, LLP

The David Lewis Company, LLP is located in Woodland Hills, CA. The company has been in business over ten years, and is a firm on Metro's current Audit Bench. The David Lewis Company, LLP specializes in performing financial, grant, IT, entertainment, audit services, and provided augmentation services for Metro. The David Lewis Company, LLP is not a certified SBE firm.

TAP International

Tap International is located in Sacramento, CA. Tap has been in business for over five years, and is currently a firm on Metro's Audit Bench. Tap specializes in performing financial, grant, IT special projects, health and safety, grant management, and also provides augmentation services for Metro. In addition to being on Metro's Audit Bench, Tap has contracted with other departments within Metro, and has performed audit services for both government and transportation agencies. Tap is a certified SBE firm with Metro.

Vasquez and Company, LLP

Vasquez & Company, LLP is another company that has been a participant on Metro's bench since 2003. Vasquez & Company LLP has been in business since 1969 and has performed numerous projects for Metro, and other government and transportation agencies. The firm is located in Los Angeles, CA. Vasquez & Company is not a certified SBE firm. Vasquez & Company, LLP has performed satisfactory work for Metro.

C. Cost/Price Analysis

Each proposer submitted fully burdened labor rates and the rates have been determined to be fair and reasonable based upon adequate price competition. Each individual task order will be competed and negotiated and will comply with all requirements of Metro Acquisition Policy, including the receipt of a proposal for the specific task, independent cost estimate, and technical evaluation before the task order is awarded.

D. Background on Recommended Contractor

All 19 firms listed above are recommended for award. These firms have been evaluated and are determined to be responsive and responsible to work on Metro assignments on an as needed basis.