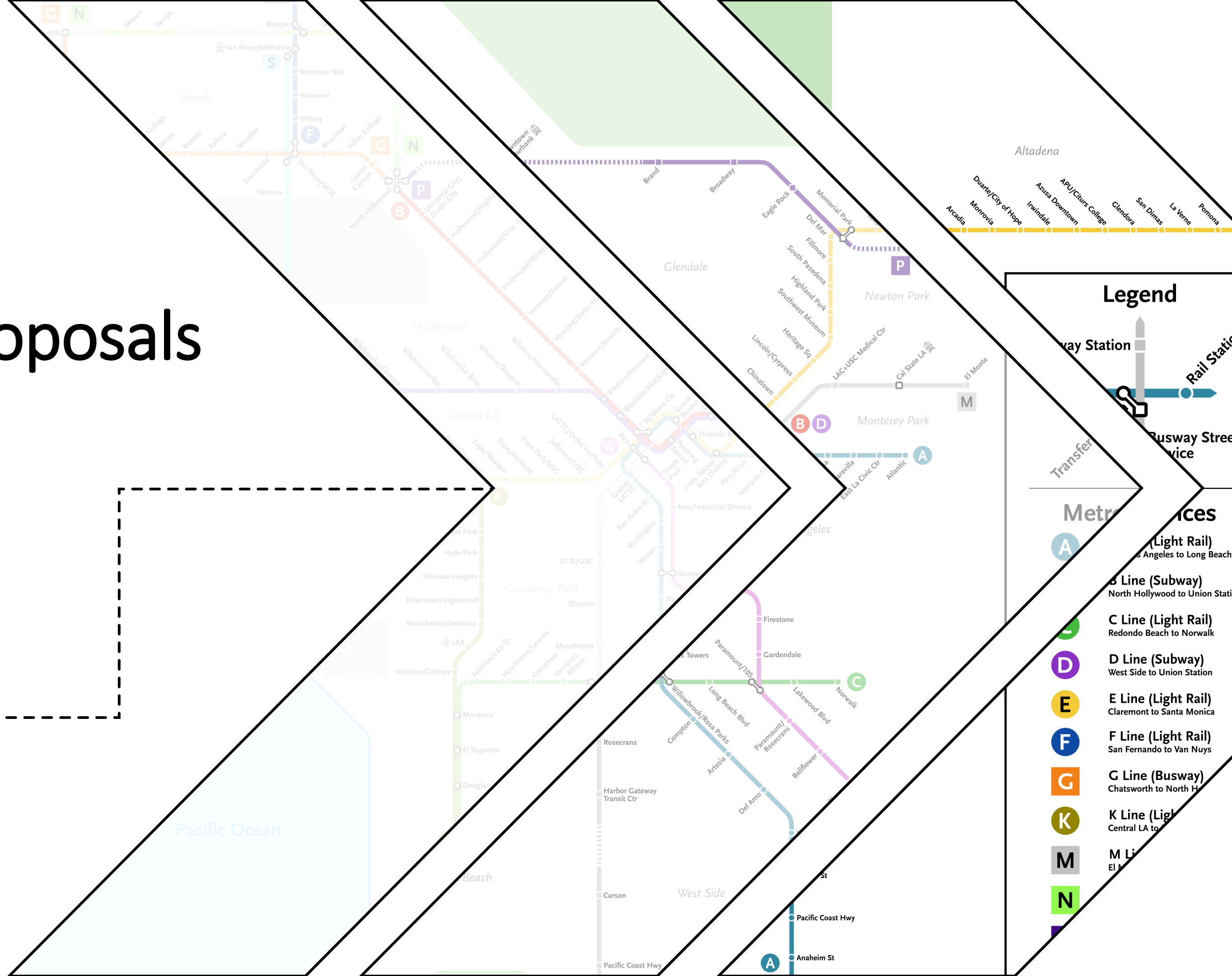


◆ Unsolicited Proposals (UP)

June 2024



Background

- Active Policy since 2016
- 286 Proposals received to date
 - 34 proposals advanced to implementation
 - 22 unique projects
 - 13 no-cost-to-Metro Proofs of Concept
- Five Year Review of UPs released in 2021 with eight recommendations for the future of UPs
- UP Policy does not obligate Metro to act on proposals

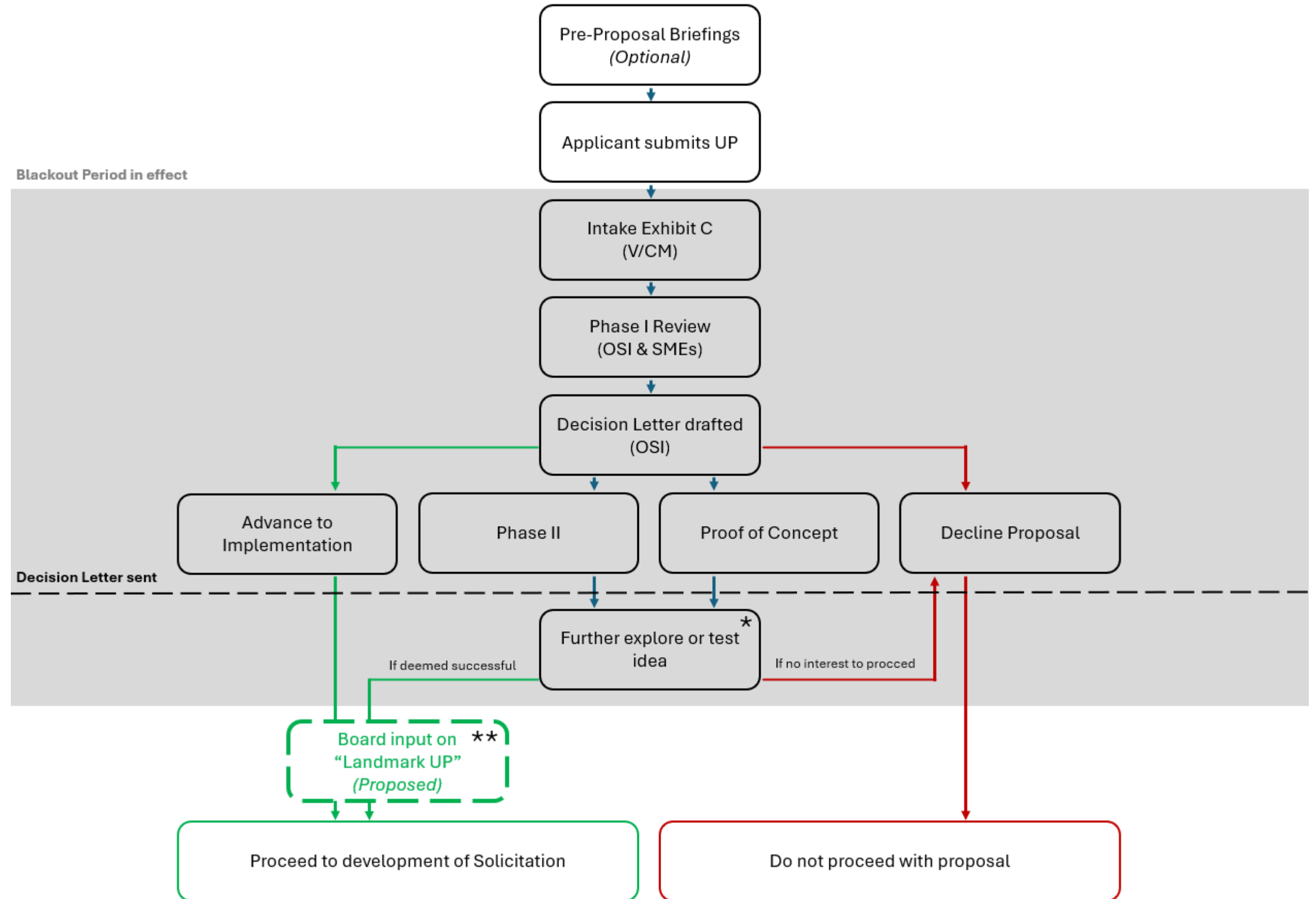


Staff Recommendations

- Add the following fields on the Exhibit C intake form for proposers to give the following information:
 - How the proposal intersects with Metro’s Equity and Sustainability values;
 - How the proposal intersects with the 2028 Games Mobility Concept Plan;
 - How the proposal intersects with Measure R and M Expenditure Plans;
 - How the proposal intersects with plans published by Metro that set agency and department priorities.
- Proposals that address projects listed in the 2028 Mobility Concept Plan, Measure R and/or M Expenditure Plans, or identified as a priority in published departmental plans will be prioritized in the Unsolicited Proposal review queue.
- Include Equity as an evaluation criteria, including as a consideration in the Rapid Scoring Tool and staff evaluation form. Include Sustainability as a consideration in the evaluation form for an Unsolicited Proposal;
- Provide quarterly updates to the Board on Decision Letters issued on Phase 1 and Phase 2 milestones that are out of the blackout period;
- Formalize process to receive Board approval on “Landmark” Unsolicited Proposals after a proposal is advanced to implementation and meet or exceed at least one of the following thresholds:
 - Introduces a new mode of mobility and requires Metro funding, project management, and/or calls for Metro to serve as lead agency in the development of an EIR/EIS; and/or
 - Requires Metro to allocate more than \$10 million to fulfill a solicitation
- Include staff from Metro’s Community Relations team on landmark proposal reviews and notify Metro Board on engagement best practices to inform approval.

Proposed Process

Process Flowchart with Option

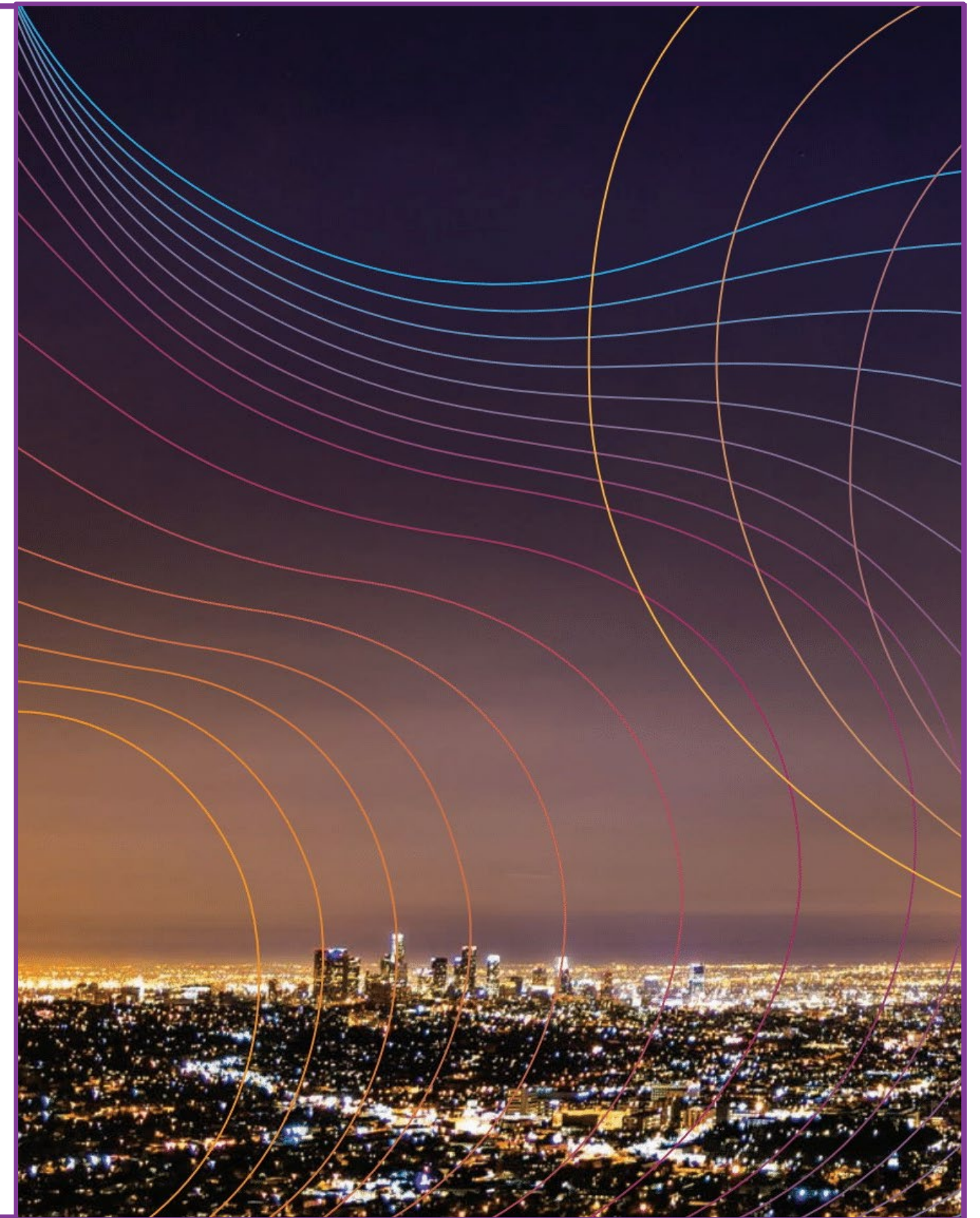


* Phase II and Proof of Concepts (PoC) Decision Letters do not end a review blackout. PoC blackout ends at execution of PoC Agreement, and Phase II blackout ends at decision to reject or advance to implementation

** PROPOSED STEP. Board will give input only in Advance to Implementation cases where proposals meet the Project Type & Cost threshold criteria outlined in Discussion section of Board Report 2024-0247 for "Landmark" Unsolicited Proposals

Maintaining the Blackout Period

- Metro staff currently maintain a blackout period during a review
- UP Policy maintains consistent standard with CPUC mandated procurement blackout rules
 - CPUC Sections 130680 and 130685
- Recommended Board proposal approval process (if applicable) would apply after a review



Next Steps

- Incorporate Recommendations in Board Report (upon approval)
- Publish revised Unsolicited Proposals Policy on Metro's website
- Update website and FAQ document
- Draft Innovation Portfolio and Proof of Concept Best Practices Guide