

DEOD SUMMARY

REGIONAL RAIL STRATEGIC FINANCIAL ADVISORY ON-CALL
SERVICES / PS66571-2000 TO PS66571-2005**A. Small Business Participation**

Each prime consultant made an overall 17% Small Business Enterprise (SBE) and 3% Disabled Veteran Business Enterprise (DVBE) commitment on the Strategic Financial Advisory On-Call Services task order contracts. To date seven (7) task orders have been awarded to four (4) different primes and the overall SBE and DVBE achievement in meeting the SBE/DVBE commitments is based on the aggregate value of all task orders awarded to each prime consultant. Sperry Capital, Inc has not been awarded task orders to date.

1. Deloitte Transactions and Business Analytics LLP (Deloitte):

Deloitte has been awarded two (2) task orders to date, and the current overall participation is 0% SBE and 0% DVBE, representing shortfalls of both the SBE and DVBE commitment of 17% and 0%, respectively. Deloitte contends that it has not included SBE or DVBE participation to date, due to the specific nature in the scope of work, to which Metro's project manager concurred. Deloitte further contends that it intends to include the SBE/DVBE partners for future opportunities, where there is scope alignment.

Overall Small Business Commitment		17% SBE 6% DVBE	Overall Small Business Participation	0.00% SBE 0.00% DVBE
	SBE Contractor(s)		% Commitment	% Participation
1.	SHA Analytics		10.00%	0.00%
2.	Morgner Construction Mgmt		7.00%	0.00%
	Total		17.00%	0.00%

	DVBE Contractor(s)	% Commitment	% Participation
1.	Virtek	3.00%	0.00%
2.	OCMI, Inc	3.00%	0.00%
	Total	6.00%	0.00%

2. Ernst & Young LLP Infrastructure Advisors, LLC (Ernst):

Ernst has been awarded one task order to date, and the current overall participation is 9.65% SBE and 1.93% DVBE, representing shortfalls of both the SBE and DVBE commitments by 7.35% and 1.07%, respectively. Ernst listed three (3) SBE and DVBE subcontractors to perform on their task order. Ernst contends that the shortfall is due to tasks assigned to its SBE subcontractor (BAE) later being deemed not

needed by Metro, which was concurred by Metro's project manager. Ernest further reported that its DVBE Alexander King was unable to perform its assigned work due to a lack of sufficient resources. Ernst reported that it has met with both firms to identify additional scope in their current task order, to help mitigate the shortfall, and will also identify other possible subcontracting opportunities by coordinating with Metro's project manager team.

Overall Small Business Commitment		17.14% SBE 3.04% DVBE	Overall Small Business Participation	9.65% SBE 1.93% DVBE
	SBE Contractor(s)		% Commitment	% Participation
1.	BAE Urban Economics		14.46%	6.73%
2.	SHA Analytics, LLC		3.42%	2.92%
	Total		17.88%	9.65%

	DVBE Contractor(s)	% Commitment	% Participation
1.	Alexander King Advisors, LLC	3.06%	1.93%
	Total	3.06%	1.93%

3. InfraStrategies, LLC:

InfraStrategies has been awarded one task order to date, and the current overall participation is 0% SBE and 3.82% DVBE, representing a 18.57% shortfall of the SBE commitment. InfraStrategies listed one (1) DVBE subcontractor to perform on their task order. InfraStrategies contends that the proposed scope for the SBE have not been requested to date. InfraStrategies also contends that it is committed to engaging its SBE subcontractor as future work becomes available for the services the firm provides.

Overall Small Business Commitment		18.57% SBE 3.34% DVBE	Overall Small Business Participation	0.00% SBE 3.82% DVBE
	SBE Contractor(s)		% Utilization Commitment	% Participation
1.	SHA Analytics, LLC		18.37%	0.00%
	Total		18.37%	0.00%

	DVBE Contractor(s)	% Utilization Commitment	% Participation
1.	Wahrenbrock Captial	3.64%	3.82%
	Total	3.64%	3.82%

4. WSP USA, Inc. (WSP):

WSP has been awarded three task orders to date, and the current overall participation is 26.98% SBE and 0.64% DVBE, exceeding the SBE commitment by 9.98% and representing a 2.57% shortfall of the DVBE commitment. WSP listed four (4) SBE and DVBE subcontractors to perform on their task order. WSP contends that STV has identified upcoming scope for DVBE participation as originally committed. STV reported that the shortfall will be mitigated by March 2026.

Overall Small Business Commitment		17.00% SBE 3.21% DVBE	Overall Small Business Participation	26.98% SBE 0.64% DVBE
	SBE Contractor(s)		% Utilization Commitment	% Participation
1.	Akima Consulting, LLC		2.91%	0.51%
2.	Lee Andrews Group, Inc.		19.34%	23.26%
3.	Trifiletti Consutling, Inc.		8.57%	3.21%
	Total		30.82%	26.98%

	DVBE Contractor(s)		% Utilization Commitment	% Participation
1.	OhanaVets, Inc.		7.02%	0.64%
	Total		7.02%	0.64%

Staff will continue to work with each prime consultant team to ensure they meet or exceed the SBE/DVBE commitments by the completion of the program.

B. Living Wage and Service Contract Worker Retention Policy Applicability

The Living Wage and Service Contract Worker Retention Policy is not applicable to this modification.

C. Prevailing Wage Applicability

Prevailing Wage requirements are not applicable to this modification.

D. Project Labor Agreement/Construction Careers Policy

Project Labor Agreement/Construction Careers Policy is not applicable to this Contract. PLA/CCP is applicable only to construction contracts that have a construction related value in excess of \$2.5 million.