

# **Audit of Licenses and Certifications of Metro Employees, Consultants, Contractors, and Subcontractors**

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# Objective

To evaluate the adequacy of Metro's controls over the verification of the required licenses and certifications of Metro employees in Risk Management and Corporate Safety, Metro consultants, contractors, and subcontractors to ensure that they are qualified to perform their work.



## Results of Audit

- While employees in Risk Management and Corporate Staff, as well as their consultants who are required to have licenses and certifications are in compliance, most positions have preferred licenses. OIG recommends review of positions to determine if the license needs to be changed from preferred to required.
- Metro can improve its procedures in monitoring the licenses of the contractors and subcontractors on a regular basis. Developing guidance and procedures to aid Metro project managers in the review of licenses and certifications will enhance the adequacy of controls over verifying staff have the required licenses and certifications.
- Contracts should be enhanced to require verification of professional licenses of the contractor's and subcontractors' key personnel on a regular basis after the contract is awarded.

❖ **OIG provided 16 recommendations.**

