

Attachment - B

Van Nuys North Platform Project Roles and Responsibilities

Reason for this Outline: Specify the roles and responsibilities of each party with regard transferring the Project from Metro's lead to Southern California Regional Rail Authority (SCRRA) as lead for construction of the Project.

By signing the May 13, 2016 letter to Bruce Roberts regarding the Van Nuys North Platform Project – Grant Recipient Change and Vendor Contract Extension Request, which was required to be submitted by that date to be agendaized for approval at the June 29-30, 2016 California Transportation Commission (CTC) meeting, both agencies agree to transfer of the construction of the Project and will cooperate with each other to complete the Project as described herein.

Description of Project: Construction of a new center platform between Metrolink main line tracks 1 and 2 with a pedestrian tunnel undercrossing to access new platform. Remove existing side platform. Modify track and signals to accommodate new platform as well as relocation or protection of fiber optic and other utilities.

Funding Sources: Proposition 1B Intercity Rail funding will cover all design and construction costs.

Metro roles and responsibilities:

- Upon Metro and SCRRA Board approval and SCRRA's acceptance of the 100% design plans and engineer's estimate of project costs, transfer the Project to SCRRA for construction including revisions to the designs per SCRRA's review comments received by Metro by May 26, 2016
- Request Caltrans make SCRRA direct recipient of CTC allocation instead of Metro
- Notify stakeholders of transfer
- Provide copies of executed agreements, easements, licenses, etc. completed during the design phase
- Extend Metro's designer's E&O coverage to include SCRRA (to be provided in contract modification)
- Monitor and provide oversight during construction including but not limited to: reviewing and approving all pay applications, change orders and design modifications, reviewing inspections reports and construction schedules, attending weekly construction meetings, and providing punch list items.

SCRRA roles and responsibilities:

- Upon Metro and SCRRA Board approval and SCRRA's acceptance of the 100% design plans and engineer's estimate of project costs, assume responsibility to construct the Project in accordance with the 100% design plans, including revisions to the designs per SCRRA's review comments received by Metro by May 26, 2016

- SCRRA Board approval of project budget and contract award
- Secure agreement on behalf of Metro with third parties for construction, including UPRR and utilities for fiber relocations
- Secure Operations and Maintenance Agreements on behalf of Metro as the Station property owner and appropriate third parties Caltrans, Amtrak, City of Los Angeles)
- Property easement/licenses cleared on behalf of Metro for construction with UPRR/DOT/Caltrans
- Bid and award construction contract (award is dependent on favorable construction bids)
- Manage the construction of the Project to completion and closeout
- Oversee, coordinate, or perform the procurement, installation and commissioning of Positive Train Control within the project limits.
- Prepare reports for Caltrans as required, and share copies with Metro
- Coordinate construction with stakeholders
- Perform community outreach during construction
- Closeout grant with the State
- Turn over finished station to City for maintenance
- Certify design in accordance with SCRRA design procedures, specifications and standards and arrange for the delivery of as-built drawings and related documents for the completed project.
- Responsible for notification of cost overruns and requests for additional funding required for construction.