

DEOD SUMMARY

**FINANCIAL ADVISORY SERVICES: PRE-PROCUREMENT AND PROCUREMENT
FOR THE SEPULVEDA PASS TRANSIT CORRIDOR PRELIMINARY
DEVELOPMENT AGREEMENT/PS51074-3049000**

A. Small Business Participation

Ernst & Young Infrastructure Advisors, LLC (EYIA) made a Small Business Enterprise (SBE) participation commitment of 12.11% for this Task Order contract. The project is 83% complete. EYIA has a current SBE participation of 8.27%, which represents a 3.84% shortfall.

As reported by EYIA and confirmed by Metro's Project Manager, SHA Analytics LLC was originally slated to provide risk analysis services and support the development of a project risk register for the Sepulveda PDA procurement. However, as the project progressed, Metro determined that these services would not be required, and likely could not be performed, for another 1-2 years after the project becomes more defined (EYIA will seek approval to terminate given this de-scoping). EYIA also reported that while the scope of services required for this task order is more directly related to the services provided by Ross Infrastructure Development LLC, EYIA projects that it will still meet its individual commitment to System Metrics Group, as well as its overall Task Order SBE commitment, by the end of contract term.

Notwithstanding, Metro Project Managers and Contract Administrator will work in conjunction with DEOD to ensure that EYIA is on schedule to meet or exceed its SBE commitment. If EYIA is not on track to meet its small business commitment, Metro staff will ensure that EYIA submits an updated mitigation plan.

Small Business Commitment	12.11% SBE	Small Business Participation	8.27% SBE
----------------------------------	-------------------	-------------------------------------	------------------

	SBE Subcontractors	% Committed	Current Participation¹
1.	System Metrics Group	3.04%	0.00%
2.	SHA Analytics LLC	7.03%	0.00%
3.	Ross Infrastructure Development LLC	2.04%	8.27%
	Total	12.11%	8.27%

¹Current Participation = Total Actual amount Paid-to-Date to DBE firms ÷ Total Actual Amount Paid-to-date to Prime.

B. Living Wage and Service Contract Worker Retention Policy Applicability

The Living Wage and Service Contract Worker Retention Policy is not applicable to this modification.

C. Prevailing Wage Applicability

Prevailing wage is not applicable to this modification.

D. Project Labor Agreement/Construction Careers Policy

Project Labor Agreement/Construction Careers Policy is not applicable to this Contract. Project Labor Agreement/Construction Careers Policy is applicable only to construction contracts that have a construction contract value in excess of \$2.5 million.