

Management Audit Services

FY 2024 Third Quarter Report

Finance, Budget & Audit Committee
May 15, 2024

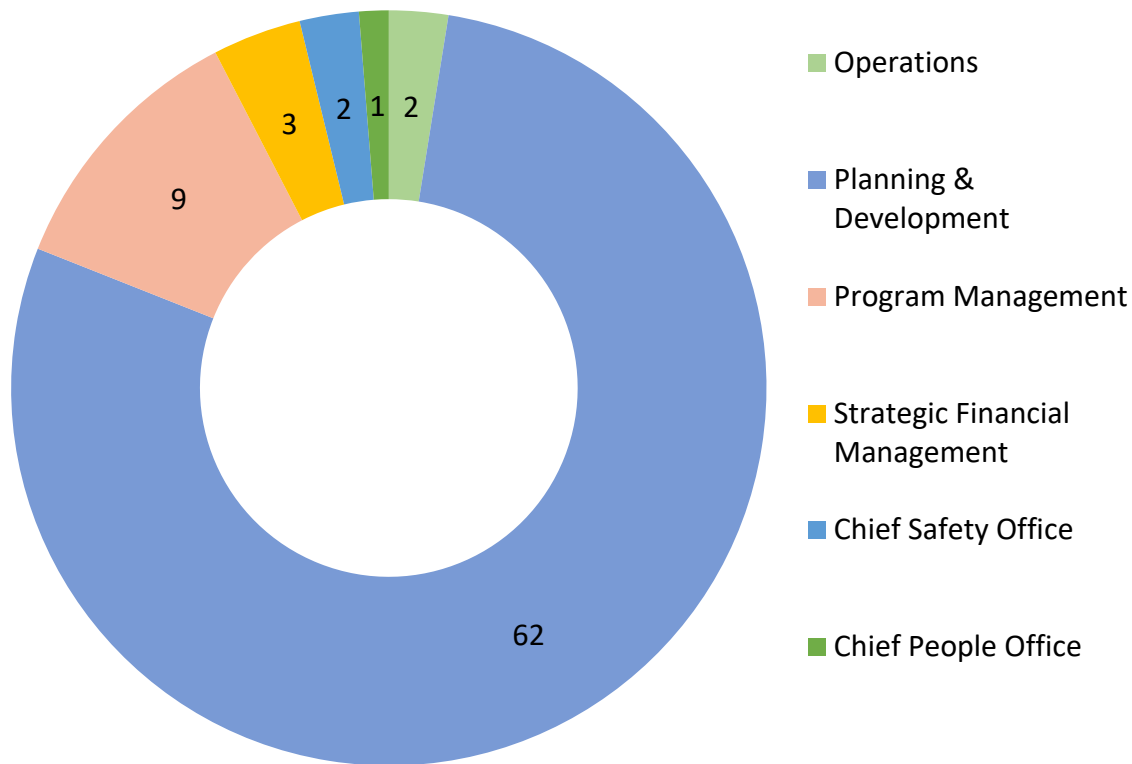
Kimberly Houston, Deputy Chief Auditor



MANAGEMENT
AUDIT SERVICES









In Progress: MAS Audit Activity

Agency Representation



- 8 Performance Audits
- 71 Contract, Financial and Compliance Audits

In Progress: Performance Projects

			Estimated Completion	
	Project Title	Description	FY24 Q4 Apr - Jun	FY25 Q1 Jul - Sep
1	OCI Training	Assess compliance of training records of new Bus Operators and Operations.		
2	Contract Price Structures	Assess the process performed for firm fixed-price professional service contracts.		
3	Third Party Risk Management	Assess Metro's third party risk management policy and program.		
4	<i>Special Review</i> Fire Response	Examine adherence to Metro protocols in response USG fire alarm activation.		
5	COOP Bus	Evaluate the adequacy of Bus Operations' COOP and SOPs to support Bus Operations' mission essential functions during emergencies.		
6	Eastside Access Improvement Project	Assess whether usage of funds complied with applicable terms and determine whether the scope aligned with the board approved scope and other agreements.		
7	Spare Parts Inventory	To assess whether Logistics is managing critical spare parts inventory effectively and in accordance with Metro policies and procedures.		
8	Purple (D-Line) Extension 1	Evaluate the state of processes and planning for final-year activities (testing, certification, training, activation) of PDLE1 transit project prior to start of revenue operations.		

Completed: Rail Operations COOP

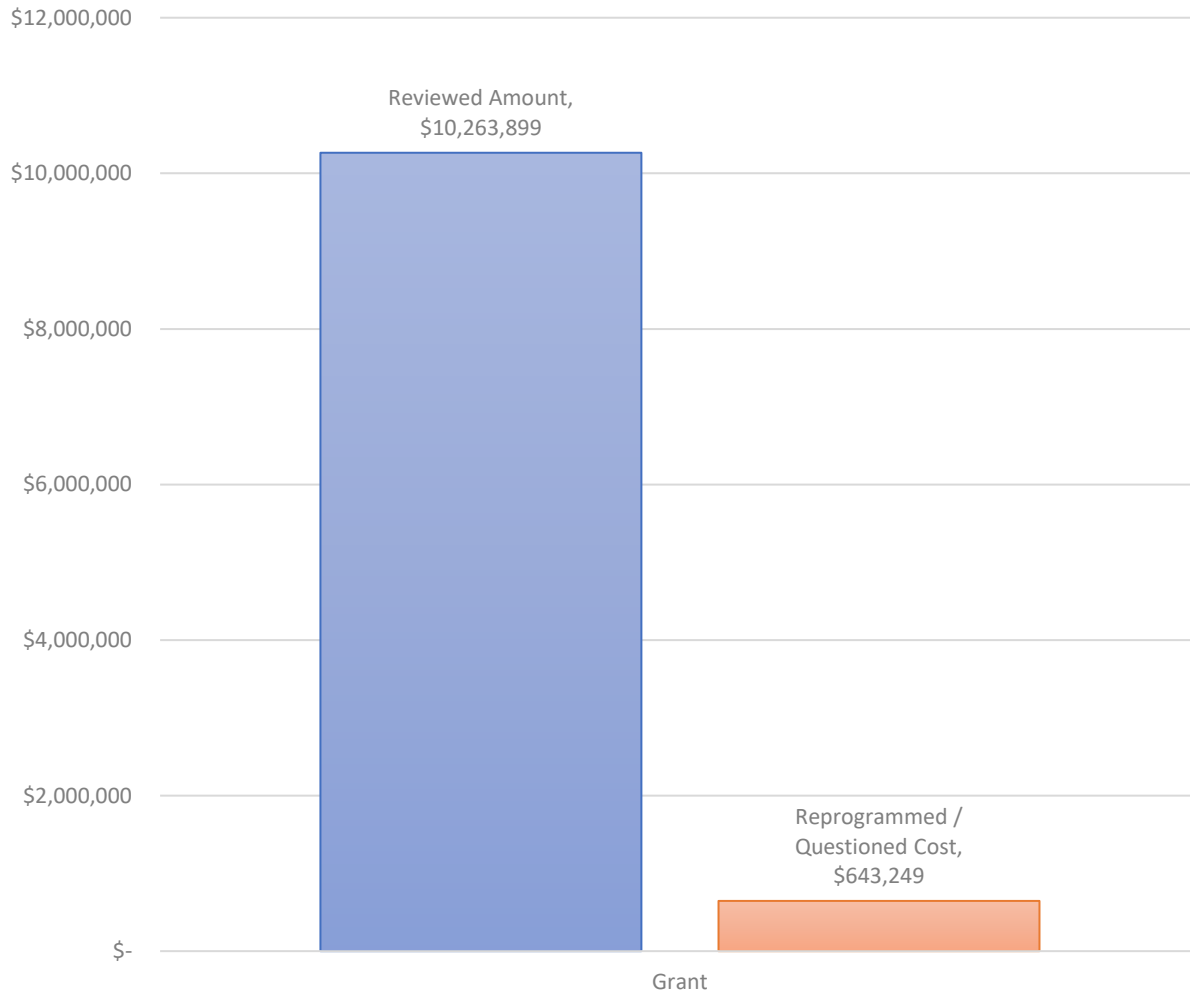
Objective

Assess the adequacy of Rail Operations' Continuity of Operations Plan (COOP) and Standard Operating Procedures (SOPs) for supporting mission essential functions during emergencies.

Audit Results

Rail Operations has a COOP and SOPs in place. However, the adequacy of both documents could be enhanced to better support Rail Operations' Mission Essential Functions during emergencies. MAS provided management with 14 recommendations and will follow up to ensure their implementation.

Completed: Contract, Financial & Compliance Audits



- Delivered financial audits that reviewed \$10M of funding; and identified \$600 thousand (6%) for reprogramming

Thank you